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12973 U.S. PTO

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JC921 U.S. PTO  
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BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Express Mail No.: EK896716746US

Attorney Docket No.: 2002850-0009

Date Filed: December 1, 2000

**UTILITY PATENT APPLICATION TRANSMITTAL**

(FOR NEW NONPROVISIONAL APPLICATIONS UNDER 37 C.F.R. § 1.53(B))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

**Inventor(s):**

| Given Name (first and middle) | Family Name or Surname | Residence (City and State or Foreign Country) |
|-------------------------------|------------------------|---|
| Steven K. H.                  | Foung                  | Stanford, California                          |
| Kenneth G.                    | Hadlock                | San Francisco, California                     |
| Zhen-yong                     | Keck                   | Redwood City, California                      |

**Title of the Invention:** PREVENTION AND TREATMENT OF HCV INFECTION  
EMPLOYING ANTIBODIES DIRECTED AGAINST CONFORMATIONAL EPITOPES

**A) APPLICATION ELEMENTS:**

1) X **Fee Transmittal Form** (original and duplicate submitted for fee processing)

2) X **Applicant Claims Small Entity Status** (see 37 CFR 1.27)

a) X **Statement Verifying Small Entity Status** (optional)

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3) X **Specification**

TOTAL PAGES: 101

- Descriptive Title of the Invention
- Cross References to Related Applications (if applicable)
- Statement Regarding Federally Sponsored R & D (if applicable)
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Summary of the Invention
- Description of the Drawing (if filed)
- Detailed Description of the Invention
- Claim(s)
- Abstract of the Invention

4) X **Drawing(s)** (35 U.S.C. § 113)

TOTAL SHEETS: 29

- a)      Formal Drawings (if checked)

5) X **Oath or Declaration**

TOTAL PAGES: 3

- a)      Newly Executed (original or copy)
- b)      Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
- i)      DELETION OF INVENTOR(S): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- c) X Unexecuted

6)      **Application Data Sheet**. See 37 CFR 1.76.

7)      **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**

8) X **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are necessary)

- a) X Computer Readable Form (CRF)
- b) X Specification Sequence Listing on:
- i)      CD-ROM or CR-R (2 copies); or
- ii) X Paper
- c) X Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

- 9) X **Assignment Papers** (cover sheet & document(s))
- 10) X **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)
- 11) X **Power of Attorney**
- 12)     **English Translation Document** (if applicable)
- 13)     **Information Disclosure Statement (IDS)/PTO-1449**
- 14)     **Copies of IDS Citations**
- 15)     **Preliminary Amendment**
- 16) X **Return Receipt Postcard (MPEP 503)** (specifically itemized)
- 17)     **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
- 18)     **OTHER:** (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

    CONTINUATION                          DIVISIONAL                      X CONTINUATION-IN-PART (CIP)

OF PRIOR APPLICATION NO: 09/430,489

FILED: OCTOBER 29, 1999

EXAMINER: NOT YET ASSIGNED

GROUP/ART UNIT: 1643

**FOR CONTINUATION OR DIVISIONAL APPLICATIONS ONLY:** The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

- ☒ Applicant claims small entity status 37 CFR 1.27
- ☒ A check is enclosed to cover the filing fees as determined on the fee transmittal enclosed herewith
- ☒ The commissioner is hereby authorized to charge filing fees or credit any overpayment to deposit account number 03-1721.

Total filing fee amount \$2,555.00

E) CORRESPONDENCE ADDRESS:

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Respectfully Submitted,



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